#### **EXHIBIT A**

# CLEAN VERSION OF ALL PENDING CLAIMS AS AMENDED HEREIN (Application No. 09/122,427; Attorney Docket No. 8449-238)

#### March 11, 2003

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- 1. A submicron-reconstitute preliposome-lyophilate comprising lipid and a non-lipid surfactant, wherein the lyophilate (a) lacks liposomes; and (b) has the ability to form liposomes having a median diameter of less than 1 μm upon reconstitution with aqueous solution.
- 3. The submicron-reconstitute preliposome-lyophilate of claim 1 wherein said surfactant is anionic, cationic or nonionic.
- 4. The submicron-reconstitute preliposome-lyophilate of claim 3 wherein said surfactant is nonionic.
- 5. The submicron-reconstitute preliposome-lyophilate of claim 4 wherein said surfactant is a TWEEN<sup>TM</sup> surfactant.
- 6. The submicron-reconstitute preliposome-lyophilate of claim 5 wherein said surfactant is TWEEN<sup>TM</sup> 20.
- 7. The submicron-reconstitute preliposome-lyophilate of claim 6 wherein said surfactant comprises from about 4 mole % to about 2 mole % of the lipid content of the submicron-reconstitute preliposome-lyophilate.
- 8. The submicron-reconstitute preliposome-lyophilate of claim 3 wherein said surfactant comprises from about 5 mole % to about 0.1 mole % of the lipid content of the submicron-reconstitute preliposome-lyophilate.
- 9. The submicron-reconstitute preliposome-lyophilate of claim 8 wherein said surfactant comprises from about 4 mole % to about 2 mole % of the lipid content of the submicron-reconstitute preliposome-lyophilate.
- 52. The submicron-reconstitute preliposome-lyophilate of claim 1, said preliposome lyophilate being halogenated solvent-free.

- 53. A preliposome-lyophilate comprising lipid and a non-lipid surfactant, said lyophilate (a) lacking liposomes; and (b) having the ability to form liposomes having a median diameter of less than 400 nm when reconstituted with aqueous solution.
- 54. The preliposome-lyophilate of claim 53 wherein said surfactant is nonionic.
- 55. The preliposome-lyophilate of claim 54 wherein said nonionic surfactant is selected from the group consisting of polyoxyethylene sorbitan monolaurate having a molecular weight of approximately 1300 and polyoxyethylene sorbitan monooleate having a molecular weight of approximately 1350.
- 57. A submicron-reconstitute preliposome-lyophilate, said lyophilate (a) lacking liposomes, and (b) having the ability to form liposomes having a median diameter of less than 400 nm upon reconstitution with aqueous solution; said lyophilate being produced by a process comprising:
  - (a) preparing a solution comprising at least one lipid dissolved in an aqueous/t-butanol solvent system and a non-lipid surfactant; and
  - (b) lyophilizing said solution to form said submicron-reconstitute preliposome-lyophilate, wherein said solution does not contain liposomes at the time of said lyophilizing.
- 58. The lyophilate of claim 57 wherein said surfactant is anionic, cationic or nonionic.
  - 59. The lyophilate of claim 58 wherein said surfactant is nonionic.
- 60. The lyophilate of claim 59 wherein said surfactant is a TWEENTM surfactant.
  - 61. The lyophilate of claim 60 wherein said surfactant is TWEENTM 20.
  - 62. The lyophilate of claim 60 wherein said surfactant is TWEENTM 80.
- 63. The lyophilate of claim 61 or claim 62 wherein said surfactant comprises from about 4 mole % to about 2 mole % of the lipid content of the lyophilate.
- 64. The lyophilate of claim 58 wherein said surfactant comprises from about 5 mole % to about 0.1 mole % of the lipid content of the lyophilate.

- The lyophilate of claim 64 wherein said surfactant comprises from about 4 65. mole % to about 2 mole % of the lipid content of the lyophilate.

A lyophilate comprising at least one lipid and a non-lipid surfactant, said surfactant being present in an amount less than 4 mole % of the lipid content of said lyophilate. said lyophilate lacking liposomes, and wherein the lyophilate is capable of forming liposomes in about one minute with hand-shaking upon addition of aqueous solution, which liposomes have a median diameter of less than 400 nm.

Tween.

- 67. The lyophilate of claim 1 or 66 further comprising a bioactive agent.
- 68. The lyophilate of claim 66 wherein said surfactant is nonionic.
- The lyophilate of claim 68 wherein said surfactant is a TWEENTM 69. surfactant.
  - 70. The lyophilate of claim 69 wherein said surfactant is TWEEN™ 20.
  - 71. The lyophilate of claim 69 wherein said surfactant is TWEEN™ 80.
- 72. The lyophilate of claim 67 wherein the bioactive agent is selected from the group consisting of an antifungal agent, an antineoplastic agent, an antibiotic, an adjuvant, a vaccine, a contrast agent, a diagnostic agent, a drug targeting agent and a genetic fragment.
- 73. The lyophilate of claim 72 wherein the bioactive agent is an antifungal agent.
- 74. The lyophilate of claim 72 wherein the bioactive agent is an antineoplastic agent.
  - 75. The lyophilate of claim 72 wherein the bioactive agent is an antibiotic.
  - 76. The lyophilate of claim 72 wherein the bioactive agent is an adjuvant.
  - 77. The lyophilate of claim 72 wherein the bioactive agent is a vaccine.
  - 78. The lyophilate of claim 72 wherein the bioactive agent is a contrast agent.
- 79. The lyophilate of claim 72 wherein the bioactive agent is a diagnostic agent.

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**Draft Claims For Discussion Purposes Only** 

- 80. The lyophilate of claim 72 wherein the bioactive agent is a drug targeting agent.
- 81. The lyophilate of claim 72 wherein the bioactive agent is a genetic fragment.
- 82. The submicron-reconstitute preliposome-lyophilate of claim 4 wherein said surfactant is a polyoxyethylene sorbitan carboxylate.
- 83. The submicron-reconstitute preliposome-lyophilate of claim 82 wherein said polyoxyethylene sorbitan carboxylate is polyoxyethylene sorbitan monolaurate.
- 84. The submicron-reconstitute preliposome-lyophilate of claim 82 wherein said polyoxyethylene sorbitan carboxylate is polyoxyethylene sorbitan monooleate.
- 85. The lyophilate of claim 59 wherein said surfactant is a polyoxyethylene sorbitan carboxylate.
- 86. The lyophilate of claim 85 wherein said polyoxyethylene sorbitan carboxylate is polyoxyethylene sorbitan monolaurate.
- 87. The lyophilate of claim 85 wherein said polyoxyethylene sorbitan carboxylate is polyoxyethylene sorbitan monooleate.
- 88. The lyophilate of claim 68 wherein said surfactant is a polyoxyethylene sorbitan carboxylate.
- 89. The lyophilate of claim 88 wherein said polyoxyethylene sorbitan carboxylate is polyoxyethylene sorbitan monolaurate.
- 90. The lyophilate of claim 88 wherein said polyoxyethylene sorbitan carboxylate is polyoxyethylene sorbitan monooleate.
- 91. The submicron-reconstitute preliposome-lyophilate of claim 5 wherein said surfactant is TWEEN<sup>TM</sup> 80.
- 92. The submicron-reconstitute preliposome-lyophilate of claim 1 wherein said surfactant is present in an amount less than 4 mole % of the lipid content of said lyophilate.

93. The submicron-reconstitute preliposome-lyophilate of claim 1 wherein said reconstitution is achieved by hand-shaking for about one minute upon addition of said aqueous solution.

### Marked-Up Versi n

- 1. (Amended) A submicron-reconstitute preliposome-lyophilate comprising lipid and a non-lipid surfactant, wherein the lyophilate (a) lacks liposomes; and (b) has the ability to form liposomes having a median diameter of less than 1 µm upon reconstitution with aqueous solution.
- 3. The submicron-reconstitute preliposome-lyophilate of claim 1 wherein said surfactant is anionic, cationic or nonionic.
- 4. The submicron-reconstitute preliposome-lyophilate of claim 3 wherein said surfactant is nonionic.
- 5. (Amended) The submicron-reconstitute preliposome-lyophilate of claim 4 wherein said surfactant is a [Tween] TWEENTM surfactant.
- 6. (Amended) The submicron-reconstitute preliposome-lyophilate of claim 5 wherein said surfactant is [Tween] TWEENTM 20.
- 52. The submicron-reconstitute preliposome-lyophilate of claim 1, said preliposome lyophilate being halogenated solvent-free.
- 53. (Amended) A preliposome-lyophilate comprising <u>lipid and</u> a non-lipid surfactant [and capable of forming], said <u>lyophilate</u> (a) <u>lacking liposomes</u>; and (b) <u>having the ability to form liposomes having [an average] a median diameter of less than 400 nm when reconstituted in aqueous solution.</u>
- 54. The preliposome-lyophilate of claim 53 wherein said surfactant is nonionic.
- 55. The preliposome-lyophilate of claim 54 wherein said nonionic surfactant is selected from the group consisting of polyoxyethylene sorbitan monolaurate having a molecular weight of approximately 1300 and polyoxyethylene sorbitan monooleate having a molecular weight of approximately 1350.

### 56. (Canceled)

57. (Amended) A submicron-reconstitute preliposome-lyophilate [product], said lyophilate (a) lacking liposomes, and (b) having the ability to form liposomes having a

median diameter of less than 400 nm upon reconstitution with aqueous solution; said lyophilate being produced by a process comprising:

- (a) preparing a solution comprising at least one lipid dissolved in an aqueous/t-butanol solvent system and a non-lipid surfactant [wherein said solution does not contain liposomes at the time of lyophilization]; and
- (b) lyophilizing said solution to form [a] said submicron-reconstitute preliposome-lyophilate, wherein said solution does not contain liposomes at the time of said lyophilizing.
- 58. (Amended) The [product] <u>lyophilate</u> of claim 57 wherein said surfactant is anionic, cationic or nonionic.
  - 59. The lyophilate of claim 58 wherein said surfactant is nonionic.
- 60. (Amended) The [product] <u>lyophilate</u> of claim 59 wherein said surfactant is a [Tween] <u>TWEENIM</u> surfactant.
- 61. (Amended) The [product] <u>lyophilate</u> of claim 60 wherein said surfactant is [Tween] <u>TWEENTM</u> 20.
- 62. (Amended) The [product] <u>lyophilate</u> of claim 60 wherein said surfactant is [Tween] <u>TWEENTM</u> 80.
- 63. (Amended) The [product] <u>lyophilate</u> of claim 61 or claim 62 wherein said surfactant comprises from about 4 mole % to about 2 mole % of the lipid content of the lyophilate.
- 64. (Amended) The [product] <u>lyophilate</u> of claim 58 wherein said surfactant comprises from about 5 mole % to about 0.1 mole % of the lipid content of the lyophilate.
- 65. (Amended) The [product] <u>lyophilate</u> of claim 64 wherein said surfactant comprises from about 4 mole % to about 2 mole % of the lipid content of the lyophilate.
- 66. (Amended) A lyophilate comprising at least one lipid and a non-lipid surfactant [of about 4 mole % or less of lipid content], said surfactant being present in an amount less than 4 mole % of the lipid content of said lyophilate, said lyophilate lacking liposomes, and wherein the lyophilate is capable of forming liposomes in about one minute with hand-shaking upon addition of aqueous solution, which liposomes have [an average] a median diameter of less than 400 nm.

- 67. (Amended) The lyophilate of claim 1 or 66 further comprising a bioactive agent.
  - 68. The lyophilate of claim 66 wherein said surfactant is nonionic.
- 69. (Amended) The lyophilate of claim 68 wherein said surfactant is a [Tween] TWEENTM surfactant.
- 70. (Amended) The lyophilate of claim 69 wherein said surfactant is [Tween] TWEENTM 20.
- 71. (Amended) The lyophilate of claim 69 wherein said surfactant is [Tween] TWEEN<sup>TM</sup> 80.
- 72. The lyophilate of claim 67 wherein the bioactive agent is selected from the group consisting of an antifungal agent, an antineoplastic agent, an antibiotic, an adjuvant, a vaccine, a contrast agent, a diagnostic agent, a drug targeting agent and a genetic fragment.
- 73. The lyophilate of claim 72 wherein the bioactive agent is an antifungal agent.
- 74. The lyophilate of claim 72 wherein the bioactive agent is an antineoplastic agent.
  - 75. The lyophilate of claim 72 wherein the bioactive agent is an antibiotic.
  - 76. The lyophilate of claim 72 wherein the bioactive agent is an adjuvant.
  - 77. The lyophilate of claim 72 wherein the bioactive agent is a vaccine.
  - 78. The lyophilate of claim 72 wherein the bioactive agent is a contrast agent.
- 79. The lyophilate of claim 72 wherein the bioactive agent is a diagnostic agent.
- 80. The lyophilate of claim 72 wherein the bioactive agent is a drug targeting agent.
- 81. The lyophilate of claim 72 wherein the bioactive agent is a genetic fragment.

- 82. (New) The submicron-reconstitute preliposome-lyophilate of claim 4 wherein said surfactant is a polyoxyethylene sorbitan carboxylate.
- 83. (New) The submicron-reconstitute preliposome-lyophilate of claim 82 wherein said polyoxyethylene sorbitan carboxylate is polyoxyethylene sorbitan monolaurate.
- 84. (New) The submicron-reconstitute preliposome-lyophilate of claim 82 wherein said polyoxyethylene sorbitan carboxylate is polyoxyethylene sorbitan monooleate.
- 85. (New) The lyophilate of claim 59 wherein said surfactant is a polyoxyethylene sorbitan carboxylate.
- 86. (New) The lyophilate of claim 85 wherein said polyoxyethylene sorbitan carboxylate is polyoxyethylene sorbitan monolaurate.
- 87. (New) The lyophilate of claim 85 wherein said polyoxyethylene sorbitan carboxylate is polyoxyethylene sorbitan monooleate.
- 88. (New) The lyophilate of claim 68 wherein said surfactant is a polyoxyethylene sorbitan carboxylate.
- 89. (New) The lyophilate of claim 88 wherein said polyoxyethylene sorbitan carboxylate is polyoxyethylene sorbitan monolaurate.
- 90. (New) The lyophilate of claim 88 wherein said polyoxyethylene sorbitan carboxylate is polyoxyethylene sorbitan monooleate.
- 91. (New) The submicron-reconstitute preliposome-lyophilate of claim 5 wherein said surfactant is TWEEN<sup>TM</sup> 80.
- 92. (New) The submicron-reconstitute preliposome-lyophilate of claim 1 wherein said surfactant is present in an amount less than 4 mole % of the lipid content of said lyophilate.
- 93. (New) The submicron-reconstitute preliposome-lyophilate of claim 1 wherein said reconstitution is achieved by hand-shaking for about one minute upon addition of said aqueous solution.

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